SERFF Tracking Number: AMNA-126163191 State: Arkansas
Filing Company: American National Insurance Company State Tracking Number: 42575

Company Tracking Number: 4111

TOI: A03G Group Annuities - Deferred Variable Sub-TOI: A03G.002 Flexible Premium

Product Name: 4111
Project Name/Number: 4111/4111

## Filing at a Glance

Company: American National Insurance Company

Product Name: 4111 SERFF Tr Num: AMNA-126163191 State: Arkansas TOI: A03G Group Annuities - Deferred Variable SERFF Status: Closed-Approved- State Tr Num: 42575

Closed

Sub-TOI: A03G.002 Flexible Premium Co Tr

Filing Type: Form

Co Tr Num: 4111 State Status: Approved-Closed

Reviewer(s): Linda Bird

Implementation Date:

Author: Tyra Reed Disposition Date: 06/08/2009

Date Submitted: 06/04/2009 Disposition Status: Approved-

Closed

Implementation Date Requested: On Approval

State Filing Description:

**General Information** 

Project Name: 4111 Status of Filing in Domicile: Pending

Project Number: 4111

Requested Filing Mode:

Explanation for Combination/Other:

Submission Type: New Submission

Overall Rate Impact:

Date Approved in Domicile:

Domicile Status Comments:

Market Type: Individual

Group Market Size:

Group Market Type:

Filing Status Changed: 06/08/2009 Explanation for Other Group Market Type:

State Status Changed: 06/08/2009

Deemer Date: Created By: Tyra Reed

Submitted By: Tyra Reed Corresponding Filing Tracking Number:

Filing Description:

RE: American National Insurance Company (NAIC: 60739 FEIN: 74-0484030)

Filing of Revisions to Form 4111(Rev 6/09): Supplemental Application for Variable Annuity Subaccount Allocations

SERFF Tracking Number: AMNA – 126163191

Company Tracking Number: 4111

Sir or Madam

Please find the above listed form submitted for your department's review and approval. This is a previously approved form, approved by your department on 3/26/2004 under SERFF Tracking Number: USPH-5XBQNS828 and State

Company Tracking Number: 4111

TOI: A03G Group Annuities - Deferred Variable Sub-TOI: A03G.002 Flexible Premium

Product Name: 4111
Project Name/Number: 4111/4111

Tracking Number: 25926.

The form has been revised with the following material changes:

The previous version contained two questions after the fund listings:

- "Are you purchasing the WealthQuest III Group Unallocated Variable Annuity that is registered with the SEC?"
- "If yes, did you receive the product prospectus and prospectuses for the investment options?"

The revised version removes those questions, and replaces them with:

- "Did you receive the product Disclosure Memorandum and prospectuses for the investment options?"

As a result of these revisions, we have assigned the form a new form number, as shown above.

In addition to the above changes, the fund listing has been updated to reflect the most current funds available under the product. Please note that this fund listing has been filed under the original filing as variable material, and is so indicated on this filing as well by the use of brackets.

Additional information regarding this filing is provided / attached below:

- Payment for the required filing fee in the amount of \$50.00 was submitted via EFT with this filing.
- Statement of Variability
- Certificate of Readability
- Certification of Compliance

## **Company and Contact**

### **Filing Contact Information**

Tyra Reed, Policy Analyst tyra.reed@anico.com

One Moody Plaza 409-763-1112 [Phone] 5222 [Ext]

Product Development--14th Floor 409-766-6933 [FAX]

Galveston, TX 77550

**Filing Company Information** 

American National Insurance Company CoCode: 60739 State of Domicile: Texas

One Moody Plaza Group Code: 408 Company Type:
Galveston, TX 77550 Group Name: State ID Number:

(409) 763-4661 ext. [Phone] FEIN Number: 74-0484030

\_\_\_\_

## **Filing Fees**

SERFF Tracking Number: AMNA-126163191 State: Arkansas

Filing Company: American National Insurance Company State Tracking Number: 42575

Company Tracking Number: 4111

TOI: A03G Group Annuities - Deferred Variable Sub-TOI: A03G.002 Flexible Premium

Product Name: 4111
Project Name/Number: 4111/4111

Fee Required? Yes
Fee Amount: \$50.00
Retaliatory? Yes

Fee Explanation:

Per Company: No

COMPANY AMOUNT DATE PROCESSED TRANSACTION #

American National Insurance Company \$50.00 06/04/2009 28349603

Company Tracking Number: 4111

TOI: A03G Group Annuities - Deferred Variable Sub-TOI: A03G.002 Flexible Premium

Product Name: 4111

Project Name/Number: 4111/4111

## **Correspondence Summary**

## **Dispositions**

Status	Created By	Created On	Date Submitted
Approved- Closed	Linda Bird	06/08/2009	06/08/2009

SERFF Tracking Number: AMNA-126163191 State: Arkansas
Filing Company: American National Insurance Company State Tracking Number: 42575

Company Tracking Number: 4111

TOI: A03G Group Annuities - Deferred Variable Sub-TOI: A03G.002 Flexible Premium

Product Name: 4111
Project Name/Number: 4111/4111

## **Disposition**

Disposition Date: 06/08/2009

Implementation Date: Status: Approved-Closed

Comment:

Rate data does NOT apply to filing.

Company Tracking Number: 4111

TOI: A03G Group Annuities - Deferred Variable Sub-TOI: A03G.002 Flexible Premium

Product Name: 4111

Project Name/Number: 4111/4111

Schedule Item Status Public Access **Schedule** Schedule Item **Supporting Document** Flesch Certification Yes **Supporting Document** Application Yes **Supporting Document** Life & Annuity - Acturial Memo No **Supporting Document** Statement of Variability Yes Supplemental Application for Subaccount **Form** Yes

Allocation

Company Tracking Number: 4111

TOI: A03G Group Annuities - Deferred Variable Sub-TOI: A03G.002 Flexible Premium

Product Name: 4111
Project Name/Number: 4111/4111

## **Form Schedule**

#### **Lead Form Number:**

Schedule	Form	Form Type	Form Name	Action	Action Specific	Readability	Attachment
Item	Number				Data		
Status							
	Form	Application	/Supplemental	Revised	Replaced Form #:		Form
	4111(Rev6	/Enrollment	Application for		Form 4111		4111(Rev6-
	09)	Form	Subaccount		Previous Filing #:		09).pdf
			Allocation		25926		

# AMERICAN NATIONAL INSURANCE COMPANY, ONE MOODY PLAZA, GALVESTON, TX 77550



WEALTHQUEST® III GROUP UNALLOCATED VARIABLE			AMERICAN NATIONAL
Contract Owner (Name or Plan)			
Enter whole percentages of each Subaccount s	selected below.	Percentages must total 100%.	
AIM Variable Insurance Funds		VIP Index 500 Portfolio	%
AIM V.I. Dynamics Fund	%	VIP Investment Grade Bond Portfolio	%
AIM V.I. Small Cap Equity Fund	%	VIP Growth & Income Portfolio	%
AIM V.I. Financial Services Fund	%	VIP Growth Opportunities Portfolio	%
AIM V.I. Global Health Care Fund	%	VIP Mid Cap Portfolio	%
AIM V.I. Global Real Estate Fund	%	VIP Value Portfolio	%
AIM V.I. Technology Fund	%	VIP Value Leaders Portfolio	%
AIM V.I. Utilities Fund	%	VIP Value Strategies Portfolio	%
The Alger American Fund Class O Shares		VIP FundsManager® 20% Portfolio	%
Alger American LargeCap Growth Portfolio	%	VIP FundsManager® 50% Portfolio	%
Alger American Income and Growth Portfolio	%	VIP FundsManager® 60% Portfolio	%
Alger American Balanced Portfolio	%	VIP FundsManager® 70% Portfolio	%
Alger American MidCap Growth Portfolio	%	VIP FundsManager® 85% Portfolio	%
Alger American Capital Appreciation Portfolio	%	VIP Freedom 2010 Portfolio	%
American National Investment Accounts, Inc.		VIP Freedom 2015 Portfolio	%
American National Growth Portfolio	%	VIP Freedom 2020 Portfolio	%
American National Balanced Portfolio	%	VIP Freedom 2025 Portfolio	%
American National Equity Income Portfolio	%	VIP Freedom 2030 Portfolio	%
American National Money Market Portfolio	%	*Formerly, VIP Aggressive Growth Portfolio.	
Federated Insurance Series		MFS® Variable Insurance Trust - Initial Class	
Federated Insurance Series  Federated Capital Income Fund II	%	MFS® Core Equity Series	%
Federated Capital Income Fund II	/0	MFS® Growth Series	%
Federated Fund for U. S. Government Securities II		MFS® Investors Trust Series	%
Federated High Income Bond Fund II	%	MFS® Research Series	%
Federated Kaufmann Fund II	%	T. D.	
Federated Mid Cap Growth Strategies Fund II		T. Rowe Price	0/
Federated Quality Bond Fund II	%	T. Rowe Price Equity Income Portfolio	%
·		T. Rowe Price International Stock Portfolio	%
Fidelity® Variable Insurance Products - Service Class 2		T. Rowe Price Limited-Term Bond Portfolio	%
VIP Growth Strategies Portfolio*	%	T. Rowe Price Personal Strategy Balanced Fund	
VIP Contrafund® Portfolio	%	T. Rowe Price Prime Reserve Fund	%
VIP Equity-Income Portfolio	%	T. Rowe Price Health Sciences Fund	%
Did you receive the product Disclosure Memorandum and	prospectuses for the	e investment options?	
Dated at	this	day of , ,	·
		Signature of Proposed Contract Owner Trustee(s)	
Witnessed by		Trustee	
Agent's Signature		Trustee	

Trustee

Print Agent/Representative's Name

SERFF Tracking Number: AMNA-126163191 State: Arkansas
Filing Company: American National Insurance Company State Tracking Number: 42575

Company Tracking Number: 4111

TOI: A03G Group Annuities - Deferred Variable Sub-TOI: A03G.002 Flexible Premium

Product Name: 4111
Project Name/Number: 4111/4111

## **Supporting Document Schedules**

Item Status:	Status

Date:

Satisfied - Item: Flesch Certification

Comments: Attachments:

AR - Certification of Compliance.pdf

AR - Readability.pdf

Item Status: Status

Date:

Satisfied - Item: Application

Comments:

Form 4112 - Approved 1/29/2004 - State Tracking Unavailable

Form 4118-AR - Approved 1/26/2007 State Tracking 34838, AMNA-125080849

Item Status: Status

Date:

Bypassed - Item: Life & Annuity - Acturial Memo

Bypass Reason: not required for filing of supplemental application

Comments:

Item Status: Status

Date:

Satisfied - Item: Statement of Variability

Comments: Attachment:

Statement of Variability.pdf



# ARKANSAS CERTIFICATION OF COMPLIANCE

FormForm NameType of FormForm 4111(Rev6/09)Supplemental Application for Subaccount AllocationApplication Supplement

The Company has reviewed the captioned form(s) above and certifies that to the best of its knowledge and belief, the form(s) submitted is (are) in compliance with the following:

Rule & Regulation 19

Rule & Regulation 49

ACA 23-79-138 and Bulletin 11-88

ACA 23-80-206 (Flesch Certification, minimum of 40)

Rex D. Hemme

Vice President & Actuary

American National Insurance Company



### READABILITY CERTIFICATION

We hereby certify that the following form(s) meet the requirements of the Readability Insurance Policies Act.

The form listed below was scored with the application form with which it would be used, and certify that the score achieved was at least 50.0.

<u>Form</u>	Form Name
Form 4111(Rev6/09)	Supplemental Application for Subaccount Allocations

Rex D. Hemme

Vice President & Actuary

American National Insurance Company

Ruy D. Hanne



#### MEMORANDUM OF VARIABLE MATERIAL for Form 4111(Rev6/09)

This memorandum was prepared for use with Form 4111(Rev6/09), a supplemental application used to designate subaccount allocations on a group unallocated variable annuity product. A statement of variability was previously filed with the original submission of this form (see cover letter for approval info). This memorandum is being submitted to confirm our intent to continue the use of variable material.

Variable material contained in Form 4111(Rev6/09) is denoted by use of brackets.

#### Variable Material in the Form

The form contains the following permissible variable material:

Subaccount (fund name) listings.

The subaccount (fund name) listings, will continue to be updated in accordance with your department's provisions, and any informational filings required will be submitted accordingly.